



GOVERNMENT OF THE DISTRICT OF COLUMBIA  
DEPARTMENT OF INSURANCE, SECURITIES AND BANKING  
810 1<sup>st</sup> Street, NE, Suite 701, Washington, DC 20002  
PHONE: (202) 727-8000 • FAX: (202) 535-1197

**MORTGAGE LENDER AND/OR BROKER  
ANNUAL REPORT FORM (ACTIVITY DURING CALENDAR YEAR 2003)**

**Please complete all information in this box**

License Number: \_\_\_\_\_

Licensee's Name: \_\_\_\_\_

**Instructions:** Pursuant to Section 11 of the Mortgage Lender and Broker Act of 1996, effective Sept. 9, 1996 (D.C. Law 11-155; DC Official Code § 26-1110 (2001)), each mortgage lender and broker licensed during 2003 must file an Annual Report to the Commissioner of the Department of Insurance, Securities and Banking. Reports covering mortgage transactions during calendar year 2003 shall be filed not later than March 31, 2004. The Annual Report Form should be filed in duplicate (1 original and 1 copy). **Please enter the licensee's name and license number in the box provided.**

Unless otherwise stated, the licensee must provide information only about its mortgage activities that are subject to the Mortgage Lenders and Brokers Act ("Act"). The Act defines a mortgage loan as any loan or other extension of credit that is secured, in whole or in part, by any interest in residential real property in the District of Columbia. Information regarding other types of loans, such as commercial or loans made on non-District of Columbia property should not be included.

	<i>Number</i>	<i>Dollar Amount</i>
Line 1: Number and dollar amount of all <u>District of Columbia</u> mortgage loans the licensee acted as the <b>lender</b> :	_____	\$ _____
Line 2: Number and dollar amount of all <u>District of Columbia</u> mortgage loans licensee <b>brokered</b> during 2003	_____	\$ _____
Line 3: Number and dollar amount of all <u>District of Columbia</u> mortgage loans licensee <b>purchased</b> during 2003:	_____	\$ _____
Line 4: Number and dollar amount of all <u>District of Columbia</u> mortgage loans licensee <b>served</b> during 2003:	_____	\$ _____
Line 5: Add lines 1 – 4:	_____	\$ _____

Line 6: If the amount on Line 5 corresponds with the activity table and your current bond amount is correct, then go to Line 8.

Line 7: If the amount on Line 5 corresponds with the activity table and your current bond amount is **incorrect**, return this form with a corrected original surety bond "rider" in the amount required by D.C. law.

ACTIVITY TABLE	
Loan Activity Amount	Required Bond Amount
\$1 million or less	\$12,500
\$1 million to \$2 million	\$17,500
\$2 million to \$3 million	\$25,000
Over \$3 million	\$50,000
Where 4 or more license applications are filed	Blanket surety bond in the amount of \$200,000

Line 8: For each loan identified in Line 1 above, please submit via email ([terry.moore@dc.gov](mailto:terry.moore@dc.gov)) a Loan Activity Report ("LAR") in the HMDA format.

Line 9: For each loan that the licensee filed a notice of intent to foreclose during calendar year 2003, please attach a Loan Activity Report ("LAR") in the HMDA format.

**AFFIDAVIT:**

"I, \_\_\_\_\_, HEREBY CERTIFY, UNDER PENALTY OF PERJURY, THAT I HAVE READ AND KNOWINGLY MADE THE STATEMENTS AND REPRESENTATIONS PROVIDED IN THE ANNUAL REPORT AND THAT EACH AND EVERY SUCH STATEMENT AND REPRESENTATION IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE AND BELIEF. I UNDERSTAND THAT ANY FALSE STATEMENT, MISREPRESENTATION, OR FRAUD IN CONNECTION WITH THIS REPORT MAY BE CAUSE FOR REVOCATION, SUSPENSION, OR OTHER DISCIPLINARY ACTION AGAINST THE LICENSEE SUBMITTING THE ANNUAL REPORT."

\_\_\_\_\_  
Print Name and Title

\_\_\_\_\_  
Signature

Personally appeared before me, \_\_\_\_\_, who being duly sworn according to law, deposes and says that the information contained in the Annual Report Form being submitted is true and correct.

Sworn and subscribed to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_. (NOTARY SEAL)

\_\_\_\_\_  
Notary Public

My commission expires: \_\_\_\_\_